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FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY DOCKET NO.
100564-00111

DATE: March 29, 2002

U.S. APPLN. NO.
(IF KNOWN, SEE 37 C.F.R. 1.5)
New **107 089521**

PRIORITY DATE CLAIMED
September 29, 1999

INTERNATIONAL APPLICATION NO.
PCT/EP00/09588

INTERNATIONAL FILING DATE
September 29, 2000

TITLE OF INVENTION: USE OF GANGLIOSIDES AND OTHER SUBSTANCES FOR MODULATING SPHINGOLIPID-CHOLESTEROL
MICRODOMAINS

APPLICANT(S) FOR DO/EO/US: Mikael SIMONS, Tim FRIEDRICHSON, Kai SIMONS and Teymuraz KURZCHALIA

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
(THE BASIC FILING FEE IS ATTACHED)
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☒ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
 9. ☐ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].
- Items 11 - 16 below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
 13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Drawings (☒ FIGS. 1A-9 7 sheets)

TECH/106866.1